

# Important information regarding your 2020 tax slips

At BMO Private Banking we know that tax season is an important time of year for our clients. Depending on your investment holdings and account type(s), you may receive a variety of income tax slips that you will need to prepare your annual tax return. For your quick reference, a schedule of key dates is provided below outlining the tax slips we may send and the dates they will be mailed.

## 2020 Tax Reporting Slips and Packages

Mailed	Tax Slips and Packages	Description
By March 8, 2021	Tax Packages for Canadian Residents and Non-Residents <b>Note:</b> If your residency changed part way through 2020, you may receive two tax packages; a Canadian resident tax package and a non-resident tax package.	A summary of your investment income, capital gains/losses, fees, and details of income that may be excluded from tax slips in 2020. The tax package also includes: <ul style="list-style-type: none"> <li>• T5/RL3/NR4* Primary Tax Slips (Statement of Investment Income) - Issued to report dividends, interest, and other income from corporations and debt instruments, detailed in the tax package</li> <li>• T5/RL3/NR4 Tax Slips for BMO issued BMO Notes</li> <li>• T3/RL16/NR4 Tax Slips (Statement of Trust Income Allocations and Designations) for BMO Private Portfolio Funds</li> <li>• T3/Relevé 16/NR4 - Tax Slips (Statement of Trust Income Allocations and Designations) for the BMO Private Series O Funds</li> <li>• T5008 Statement of Securities Transactions - This report summarizes the proceeds of any securities disposition that took place during the reporting year. This report along with the capital gain/loss report will assist in calculating trading gains/losses</li> <li>• T1135 Foreign Income Verification statement - Reports foreign assets held by country. A NIL report will be inserted if there are no foreign assets held</li> </ul> <p>* The Primary NR4 tax slip represents income earned and reported on the "Summary of your Investment Income" but excludes the foreign income and foreign withholding taxes</p> <p><b>Note:</b> The primary T5 tax slip (and Relevé 3 for residents of Québec) represents income earned and reported on the "Summary of Your Investment Income" for amounts over the reporting threshold of \$50</p>
By February 8, 2021	T4RSP, T4RIF, RL2	Issued to report withdrawals from RRSP and RRIF accounts
By February 23, 2021	T4/RL2 slips for Estates, including associated contribution slips 60L	Issued to report estate distributions to beneficiaries
By February 25, 2021	T5/RL3/NR4 tax slips for Split Share corporations	A separate tax slip is issued to report the income allocations from split share corporations
By March 30, 2021	T5013/RL15 tax slips for Limited Partnership units	Issued to report the allocation of the partnership's income among the Canadian resident partners
By March 30, 2021	T3/RL16/NR4 tax slips for Income Trusts	Issued to report the income allocations distributed by income trusts
By March 30, 2021	T3/RL16/NR4 tax slips for other BMO and Third Party Mutual Funds	Issued by the respective mutual fund companies
By April 30, 2021	RSP Contribution Slips	Issued to report contributions to RRSP accounts <b>Note:</b> There are multiple mailings, you may receive slips as early as January
By February 1, 2021	IRS Form 1099	Issued to report U.S. Source Income payments to any U.S. Person who has provided a W9 and holds a TFSA.
By March 15, 2021	IRS Form 1042s	Issued to any Non-U.S.: <ul style="list-style-type: none"> <li>• Beneficial Owner of a Grantor Trust, Simple Trust or Partnership or</li> <li>• Client who holds a Registered Account and has lived outside Canada or U.S. greater than 5 years who has received U.S. source income and has not provided a W-8BEN.</li> </ul> <p>This tax slip may be required to support your tax returns if you file a U.S. tax return. If you are not required to file a U.S. tax return, then no further action is required by you. However, if you are still unsure, please contact your personal tax advisor.</p>

BMO Private Banking does not issue tax slips for third party Mutual Funds; these are issued directly by the Mutual Fund companies.